1 Title: Differential expression of microRNAs in colorectal cancer: different patterns 2 between isolated cancer gland and stromal cells 3 4 5 Running title: microRNAs dysregulated in CRC 6 7 Ayaka Sato, MD (1), Yasuko Fujita, MD (1), Koki Otsuka, MD (2), Akira Sasaki, 8 MD (2), Hiromu Suzuki, MD (3), Takayuki Matsumoto, MD (4), Tamotsu Sugai, 9 MD (1) 10 11 (1) Department of Molecular Diagnostic Pathology, School of Medicine, Iwate 12 Medical University, 2-1-1 Idaidori, Yahaba-cho, Shiwa-gun, Iwate prefecture, 13 028-3695, Japan 14 (2) Department of Surgery, Iwate Medical University 15 (3) Department of Molecular Biology, Sapporo Medical University School of 16 Medicine

1 (4) Division of Gastroenterology, Department of Internal Medicine, Iwate Medical University 2 3 4 Correspondence: 5 6 Tamotsu Sugai, MD 7 Department of Molecular Diagnostic Pathology 8 Iwate Medical University, 2-1-1 Idaidori, Yahaba-cho, Shiwa-gun, Iwate 9 prefecture, 028-3695, Japan 10 TEL: +81-19-613-7111; FAX: +81-19-907-8145 11 E-mail: tsugai@iwate-med.ac.jp 12 13 Keywords: cancer-associated fibroblast, colorectal cancer, crypt isolation, real 14 time PCR, microRNA, stromal cell 15 16 Word count: 3326 (excluding references)

1	
2	Abbreviations: miRNA, microRNA; CRC, colorectal cancer; CAF, cancer-associated
3	fibroblast; PCR, polymerase chain reaction; 5FU, 5fuluorourasil; EMT, epithelial-
4	mesenchymal transitions; MET, mesenchymal-epithelial transitions; SMA, smooth
5	muscle actin
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	Abstract

1 Although microRNAs (miRNAs) play an important role in invasive tumor lesions, 2 which involve cancer tissues mixed with stromal tissues, the differences in 3 miRNA expression between cancer and stromal cells remain unclear. We 4 selected 13 miRNAs and examined their differential expression patterns in cancer 5 gland cells and surrounding stromal cells isolated from 24 colorectal cancer 6 (CRC) specimens using a crypt isolation method. Although six miRNAs were 7 upregulated in gland cells, only three were upregulated in the corresponding 8 stromal cells, in the cancer compared with non-cancer specimens. Next, we 9 examined the differences in miRNA expression between isolated cancer gland 10 and stromal cells. Five miRNAs showed statistical differences in their cancer-11 related differential expression patterns between isolated cancer gland and 12 stromal cells. We then compared these miRNA expression patterns in isolated 13 cancer gland and stromal cells with those in fresh intact tumor tissues, consisting 14 of cancer nests and stromal tissue, obtained from the 24 CRCs. The expression 15 patterns of three miRNAs in the intact cancer tissue samples did not correspond 16 with those in the isolated components. Identification of the expression patterns of

- 1 miRNAs in both the cancer gland and stromal cell components of the tumor
- 2 microenvironment greatly contributes to evaluating epigenetic regulation in CRC.

4

Introduction

1

16

2 Colorectal cancer (CRC) is a leading cause of cancer-related death worldwide, 1 3 due to its metastasis. Although many therapeutic options including the 4 combination of chemotherapy with targeted therapy have been developed for 5 metastatic CRC, ² there has been little improvement in the survival rate of patients 6 with metastatic CRC, ³ prompting investigators to evaluate the underlying 7 mechanisms of CRC progression 2, 3 The tumor microenvironment, which 8 promotes the growth and invasion of cancer cells, has attracted attention in the 9 efforts to understand colorectal carcinogenesis. 4, 5 10 MicroRNAs (miRNAs) are endogenous small (~22 nucleotides) noncoding 11 RNAs that silence genes at the post-transcriptional level. ^{6, 7} Previous studies 12 have shown that miRNAs play fundamental roles in colorectal carcinogenesis 13 including invasion and metastasis. 6,7 Aberrant expression of miRNAs has been detected in various cancers including breast, 8 lung, 9 pancreatic, 10 colorectal 11 14 15 and ovarian cancers 12. MiRNAs regulate the expression of many known

oncogenes (suppressor miRNAs) and tumor suppressor genes (onco-miRNAs)

- 1 in cancer pathogenesis. ¹³ These functions of miRNAs in human carcinogenesis
- 2 will help identify new targets for cancer research, diagnosis, and treatment. ¹⁴
- 3 The tumor microenvironment is composed of cancer cells and non-cancer cells,
- 4 so-called stromal cells such as cancer-associated fibroblasts (CAFs), tumor-
- 5 associated macrophages, pericytes, endothelial cells, and infiltrating immune
- 6 cells. 4, 5 Recent accumulating evidence suggests that dysregulation of miRNAs
- 7 promotes tumor invasion and metastasis. 4, 5 Although stromal cells interact with
- 8 tumor cells as well as other stromal cells to stimulate tumor growth and
- 9 metastasis, 14 CAFs are one of the most important factors facilitating tumor
- progression. 15, 16 Disrupting the interactions between cancer and stromal cells
- within the tumor microenvironment is a potential strategy to not only evaluate
- 12 colorectal carcinogenesis and but also develop novel therapies for cancer
- 13 treatment. ¹⁷ A recent report suggested that miRNAs contribute to regulation of
- 14 the microenvironment. ¹⁷
- 15 Although miRNAs closely associated with colorectal carcinogenesis are
- generally classified as oncogenic or tumor suppressive, ¹³ it is unclear whether

- 1 these miRNAs originate from cancer cells or the surrounding stromal cells in CRC.
- 2 18, 19, 20 A recent study indicated that miRNA-21 is expressed in both cancer and
- 3 stromal cells in CRC, pancreatic cancer and urothelial carcinoma. ^{19, 20, 21} This
- 4 suggests that the roles of miRNA-21 in CRC should be evaluated separately in
- 5 the cancer versus non-cancer components. 19 A recent study showed that
- 6 miRNA-21 expression in CAFs, but not cancer cells, was associated with a poor
- 7 prognosis in lung adenocarcinoma. ²² Identification of the role of miRNAs in the
- 8 invasive area (tumor microenvironment) is necessary for stratifying patients with
- 9 CRC according to genetic and epigenetic alterations.
- The aim of the present study was to examine miRNA dysregulation in the
- 11 microenvironment, specifically at the cancer invasion front, by assessing
- 12 abnormal expression of miRNAs in cancer and stromal cells, obtained using a
- 13 crypt isolation method that enables separate isolation of cancer gland and
- 14 stromal cells. In addition, we attempted to identify the differences in miRNA
- dysregulation among intact tumor tissues containing stromal cells and each of its
- isolated components (i.e., cancer gland and stromal tissues).

2

Materials and methods

3 Patients

- 4 A total of 24 pairs of tumor and corresponding normal tissue samples distant from
- 5 the cancer tissue samples were obtained from 24 patients with primary CRC
- 6 admitted to Iwate Medical University Hospital from 2016 to 2018. The CRCs were
- 7 classified into three histological groups, well differentiated, moderately
- 8 differentiated, papillary adenocarcinoma and mucinous adenocarcinoma,
- 9 according to the criteria of the Japanese Society for Cancer of the Colon and
- 10 Rectum. ²³ CRC stage was determined according to the guidelines of the
- 11 Japanese Society for Cancer of the Colon and Rectum. The clinicopathological
- 12 findings are shown in **Table 1**.
- 13 This study was approved by the Ethical Research Committee of Iwate Medical
- 14 University (HG H29-29).

15

16 Colonic crypt isolation

Pathology International

1 Colonic crypt isolation from tumor and normal mucosal tissues was performed in 2 accordance with a previously described method to obtain pure gland and stromal 3 tissues, respectively. ²⁴ Samples from the isolated tumor glands were obtained 4 from the central ulcer of the tumor, which involves the invasion front. We 5 confirmed that the samples contained the invasion front using the histological 6 sections prepared for pathological diagnosis. In the present study, gland cells 7 (from normal and tumor tissues) and stromal cells (from mainly fibrous tissue 8 consisting of CAFs and normal fibroblasts) were obtained separately under a 9 dissecting microscope. The surrounding stromal cells near the cancer glands 10 were carefully obtained from the isolated samples. The isolated glands and 11 stromal cells were processed routinely into paraffin-embedded histological 12 sections for characterization. The stromal cells were immunostained with 13 antibodies targeting smooth muscle actin (clone 1A4, Dako, Carpinteria, CA, 14 USA) and desmin (clone D33, Dako). We confirmed the exclusive presence of 15 fibroblasts according to a smooth muscle actin-negative and desmin-positive 16 immunostaining pattern. Contamination of other materials (gland samples such 1 as interstitial cells; stromal sample, cancer glands) was not evident in the gland

2 or stromal tissue samples examined. However, the stromal cells might have been

contaminated with other non-epithelial cells, such as inflammatory and vessel

4 cells. Although there are different types of tumor-associated stromal cells,

including cancer-associated fibroblasts, inflammatory tissue and vascular rich

tissue, we confirmed that the stromal cells in the present study comprised cancer-

associated fibroblasts exclusively (Supplementary Figure 1). Representative

8 images are shown in **Figure 1**.

9

10

12

13

14

15

3

5

6

7

Intact tumor sampling

11 For miRNA analysis, fresh intact tumor tissues were obtained from an area of the

resected tumor adjacent to the region used for crypt isolation. Normal colonic

mucosa was taken from the most distant portion of the colon. The cell population

of these tumor tissue samples was confirmed to contain at least 50% neoplastic

cells. Non-cancer gland and fibrous tissues (distant from the tumor) were isolated

as controls. All tissue samples were stored at -80°C until RNA extraction.

2

RNA isolation

- 3 miRNAs were extracted using the mirVana™ miRNA Isolation kit (Thermo Fisher
- 4 Scientific, Inc.) according to the manufacturer's instructions. RNA quantity and
- 5 quality were evaluated using the DU730 spectrophotometer (Beckman Coulter,
- 6 Brea, CA, USA), and RNA integrity was determined by gel electrophoresis.

7

8

Quantitative reverse-transcription PCR analysis of miRNAs

- 9 The following miRNAs expressed in CRCs were evaluated in this study: hsa-
- 10 miRNA-19a-3p, ²⁵ hsa-miRNA-21-5p, ²⁵ hsa-miRNA-27a-3p, ²⁵ hsa-miRNA-27b-
- 11 3p, ²⁵ hsa-miRNA-31-5p, ²⁵ hsa-miRNA-34b-3p, ²⁵ hsa-miRNA-130a-3p, ²⁵, ²⁶
- 12 hsa-miRNA-143-3p, ²⁵ hsa-miRNA-186-5p, ²⁵ hsa-miRNA-191-5p, ²⁵ hsa-
- 13 miRNA-195-5p, ²⁷ hsa-miRNA-206, ²⁸ and hsa-let-7a-5p. ²⁶ The primer
- sequences used are shown in Supplementary Table 1.
- 15 The mature miRNAs were detected and quantified by quantitative reverse-
- 16 transcription PCR in conjunction with TaqMan miRNA assays (Applied

1 Biosystems, Foster City, CA, USA) as described previously. ²⁹ Triplicate RNA 2 samples were used. RNA was reverse transcribed into cDNA using the TagMan 3 MicroRNA Reverse Transcription Kit (Applied Biosystems), and reactions were 4 run on the Gene Amp PCR system 9700 thermal cycler (Applied Biosystems). 5 Samples were incubated at 16°C for 30 min, followed by 42°C for 30 min and 6 85°C for 5 min. We included a negative control lacking reverse transcriptase in 7 each set of reactions. The reaction mixture (20 µL final volume) consisted of the 8 cDNA product, TagMan 2X Universal PCR Master Mix II, and the appropriate 20X 9 MicroRNA Assay Mix containing the specific probe targeting the miRNA of 10 interest. PCR was performed using the StepOnePlus Real-Time PCR System 11 (Applied Biosystems) under the following reaction conditions: 10 min at 95°C, 12 followed by 40 cycles of 15 s at 95°C and 60 s at 60°C. Inter-assay controls and 13 calibrators were included in each 96-well plate. All TaqMan assays were run in 14 triplicate using the AB StepOnePlus Real-Time PCR System. RNU6B (assay ID: 15 001093) was amplified as an endogenous control for normalization of the miRNA 16 expression levels. Ct values were generated using StepOne Software v2.2.2 with

the automatic baseline settings, and the $2^{-\Delta\Delta Ct}$ method was used to calculate the 1 2 expression level of each miRNA in the tumor tissues relative (fold-change) to the 3 non-tumor tissues. The expression ratio was logarithmically transformed (base 4 10) to obtain the fold change (>0, upregulation; <0, downregulation). 5 6 Statistical analysis 7 The expression levels of each miRNA in each sample (isolated cancer gland cells, 8 isolated stromal cells, and intact tumor tissues) were compared using the 9 Wilcoxon matched-pairs signed-rank test conducted in GraphPad Prism version 10 6.0d (GraphPad Software, San Diego, CA, USA). A P-value < 0.05 was 11 considered to indicate significance. 12 13 Results 14 Differential miRNA expression between matched CRC and non-cancer samples 15 To confirm and validate the differential expression of each miRNA examined in

CRC, we first measured miRNA expression levels in isolated gland or stromal

1 cells from the 24 CRC specimens compared with the corresponding paired 2 normal samples (isolated normal gland cells or normal fibroblasts, respectively). 3 In isolated CRC gland cells, the expression levels of six miRNAs (hsa-miRNA-4 130a-3p, hsa-miRNA-143-3p, hsa-miRNA-206, hsa-miRNA-31-5p, hsa-miRNA-5 27b-3p, and hsa-miRNA-27a-3p) were upregulated, whereas those of seven 6 miRNAs (hsa-miRNA-21-5p, hsa-miRNA-195-5p, hsa-miRNA-19a-3p, hsa-7 miRNA-34b-3p, hsa-miRNA-186-5p, hsa-miRNA-191-5p, and hsa-let-7a-5p) 8 were downregulated, compared with the corresponding isolated normal gland 9 specimens. In the isolated CRC stromal cells, the expression levels of three 10 miRNAs (hsa-miRNA-21-5p, hsa-miRNA-31-5p, and hsa-miRNA-27b-3p) were 11 upregulated and those of 10 miRNAs (hsa-miRNA-130a-3p, hsa-miRNA-143-3p, 12 hsa-miRNA-206, hsa-miRNA-195-5p, hsa-miRNA-19a-3p, hsa-miRNA-34b-3p, 13 hsa-miRNA-186-5p, hsa-miRNA-191-5p, hsa-let-7a-5p, and hsa-miRNA-27a-3p) 14 were downregulated compared with the corresponding normal fibroblasts. Finally, 15 in the intact tumor mucosa samples, the expression levels of three miRNAs (hsa-

miRNA-31-5p, hsa-miRNA-19a-3p, and hsa-let-7a-5p) were upregulated, and

- 1 those of 10 miRNAs (hsa-miRNA-130a-3p, hsa-miRNA-21-5p, hsa-miRNA-143-
- 2 3p, hsa-miRNA-206, hsa-miRNA-195-5p, hsa-miRNA-27b-3p, hsa-miRNA-34b-
- 3 3p, hsa-miRNA-186-5p, hsa-miRNA-191-5p, and hsa-miRNA-27a-3p) were
- 4 downregulated, compared with normal mucosa specimens.

- 6 Comparison of cancer-associated differential miRNA expression patterns
- 7 between isolated tumor gland cells and the surrounding stromal cells
- 8 We compared the differential miRNA expression in CRC between the isolated
- 9 cancer glands and isolated stromal cells. The expression levels of hsa-miRNA-
- 10 130a-3p (*P*<0.05), hsa-miRNA-143-3p (*P*<0.01), and hsa-miRNA-206 (*P*<0.05)
- 11 were significantly upregulated in CRC gland cells but downregulated in CRC
- stromal cells, respectively. On the other hand, hsa-miRNA-21-5p showed the
- 13 opposite pattern, being significantly downregulated in CRC gland cells but
- upregulated in CRC stromal cells (P < 0.05), respectively. hsa-miRNA-195-5p was
- significantly downregulated in both isolated gland and stromal cells from CRC,
- but the degree of downregulation was significantly greater in the stromal than

- 1 cancer gland cells (hsa-miR-195-5p) (P<0.05). These associations are depicted
- 2 in **Figure 2**.

- 4 Comparison of cancer-associated differential miRNA expression patterns
- 5 between isolated cancer gland cells and intact tumor tissues
- 6 The expression levels of hsa-miRNA-130a-3p (P<0.01), hsa-miRNA-143-3p
- 7 (P<0.01), hsa-miRNA-206 (P<0.05), hsa-miRNA-27b-3p (P<0.01), and hsa-
- 8 miRNA-27a-3p (P<0.01) were significantly upregulated in the isolated CRC gland
- 9 cells compared with their non-cancer counterparts but significantly
- 10 downregulated in intact tumor tissues compared with non-tumor tissues. The
- 11 degree of hsa-miRNA-195-5p (P<0.01) and hsa-miRNA-34b-3p (P<0.05)
- downregulation in cancer compared with non-cancer samples was significantly
- different between the isolated cancer gland cells and intact tumor tissues. None
- of the other miRNAs (hsa-miRNA-21-5p, hsa-miRNA-31-5p, hsa-miRNA-19a-3p,
- hsa-miRNA-186-5p, hsa-miRNA-191-5p, and hsa-let-7a-5p) showed significant
- differences in their differential expression patterns between isolated cancer gland

and intact tumor tissues. These associations are shown in **Figure 3**.

2

- 3 Comparison of cancer-associated differential miRNA expression patterns
- 4 between isolated stromal cells and intact tumor tissues
- 5 The expression levels of hsa-miRNA-130a-3p (P<0.05), hsa-miRNA-143-3p
- 6 (P<0.01), and hsa-miRNA-195-5p (P<0.01) were significantly downregulated in
- 7 isolated stromal cells, but the degree of downregulation was greater in the intact
- 8 tissues than isolated stromal cells from CRC. The expression of hsa-miRNA-27b-
- 9 3p was significantly upregulated, compared with intact tumor tissue (*P*<0.05). No
- 10 statistical differences were detected in the cancer-associated differential
- 11 expression patterns of the other miRNAs between stromal cells and intact tumor
- tissues. These associations are depicted in Figure 4.

- 14 miRNA classification based on cancer-associated expression patterns between
- 15 isolated cancer gland and stromal cells
- 16 The miRNAs evaluated were classified into two types according to their CRC-

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

associated differential expression patterns in isolated cancer gland and stromal cells: different (defined as a statistically differential expression between isolated cancer glands and stromal cells) and non-different (no statistical difference in differential expression between isolated cancer glands and stromal cells) types. The five miRNAs of the different type (hsa-miRNA-130a-3p, hsa-miRNA-206, hsa-miRNA-21-5p, hsa-miRNA-143-3p, and hsa-miRNA-195-5p) were further sub-classified into two subgroups: gland cell dominant and stromal cell dominant. Gland cell dominant miRNAs were those with a greater magnitude of CRC differential expression in the isolated cancer gland cells than in the stromal cells; only hsa-miRNA-130a-3p was classified into this category, showing upregulation in gland cells and downregulation in stromal cells from CRC. The stromal cell dominant miRNAs were those with a greater magnitude of differential expression in the isolated stromal cells than in the cancer gland cells; of these miRNAs, hsamiRNA-21-5p was downregulated in cancer gland cells, but upregulated in stromal cells, hsa-miRNA-206 and hsa-miRNA-143-3p were upregulated in gland but downregulated in stromal cells, and hsa-miRNA-195-5p was downregulated

- 1 in both gland and stromal cells in CRC.
- 2 The miRNAs classified as the non-different type were further divided into
- 3 upregulated (i.e., upregulated in both isolated gland and stromal cells from CRC:
- 4 hsa-miRNA-27b-3p and hsa-miRNA-31-5p), downregulated (i.e., downregulated
- 5 in both isolated gland and stromal cells from CRC: hsa-miRNA-19a-3p, hsa-
- 6 miRNA-34b-3p, hsa-miRNA-186-5p, hsa-miRNA-191-5p, and hsa-let-7a-5p),
- 7 and up/downregulated (i.e., upregulated in gland cells and downregulated in
- 8 stromal cells from CRC: hsa-miRNA-27a-3p). These classifications are
- 9 summarized in **Table 2**.

- Associations of the cancer-associated miRNA expression patterns between the
- isolated and intact tumor samples
- 13 The cancer-associated differential expression patterns of the 13 miRNAs in
- isolated cancer gland versus stromal cells were classified into three categories:
- up/downregulated in the gland and stromal cells (hsa-miRNA-130a-3p, hsa-
- 16 miRNA-21-5p, hsa-miRNA-143-3p, hsa-miRNA-206, and hsa-miRNA-27a-3p),

- 1 upregulated in both the gland and stromal cells (hsa-miRNA-31-5p and hsa-
- 2 miRNA-27b-3p), and downregulated in both the gland and stromal cells (hsa-
- 3 miRNA-195-5p, hsa-miRNA-19a-3p, hsa-miRNA-34b-3p, hsa-miRNA-186-5p,
- 4 hsa-miRNA-191-5p, and hsa-let-7a-5p).
- 5 We then compared the miRNA expression patterns between the isolated cells
- 6 and intact tumor tissue samples. Among the 13 miRNAs, hsa-miRNA-27b-3p
- 7 exhibited upregulated CRC expression in both the isolated cancer gland and
- 8 stromal cells but downregulated expression in the intact tumor tissues.
- 9 Furthermore, hsa-miRNA-19a-3p and hsa-let-7a-5p showed downregulated CRC
- 10 expression in both the isolated cancer gland and stromal cells but upregulated
- 11 CRC expression in the intact tumor tissues. These associations are shown in
- 12 **Table 3**.

14 Discussion

- A total of 13 miRNAs that are closely associated with colorectal carcinogenesis
- were identified in CRC patients at different stages of the disease. These miRNAs

- were examined extensively to evaluate their expression in CRC progression. ²⁵-
- 2 ²⁸ In the present study, hsa-miRNA-130a-3p, hsa-miRNA-143-3p, hsa-miR-206,
- 3 hsa-miRNA-31-5p, hsa-miRNA-27a-3p, and hsa-miRNA-27b-3p were
- 4 upregulated, whereas hsa-miRNA-21-5p, hsa-miRNA-195-5p, hsa-miRNA-19a-
- 5 3p, hsa-miRNA-34b-3p, hsa-miRNA-186-5p, hsa-miRNA-191-5p, and hsa-let-7a-
- 6 5p were downregulated, in isolated gland cells from CRC compared with non-
- 7 cancer specimens. These 13 miRNAs showed different expression patterns in
- 8 the present study compared with those in previous studies. ^{13, 14, 15} For example,
- 9 has-miRNA-21-5p was downregulated in the present study but was reported to
- 10 be upregulated in CRC in previous studies. ^{13, 19} This discrepancy may urge
- 11 investigators to reconsider the role of miRNA-21 in CRC. In addition, the
- expression of only 5 of the 13 miRNAs showed parallel changes between the
- 13 isolated cancer gland and intact tumor tissue samples. This finding suggests that
- the overall expression of a miRNA in a tumor is determined by its expression in
- the individual components (gland and surrounding stromal tissues).
- Recent studies have shown dysregulation of miRNAs in stromal cells in

various cancers. ^{17, 22, 30} High miRNA-21 expression in CAFs was associated with 1 2 a poor prognosis in lung adenocarcinoma, 22 suggesting that at least some miRNAs are associated with cancer progression. 17, 31, 32 In the present study, 10 3 4 of the 13 miRNAs evaluated were downregulated (hsa-miRNA-130a-3p, hsa-5 miRNA-143-3p, hsa-miRNA-206, hsa-miRNA-195-5p, hsa-miRNA-27a-3p, hsa-6 miRNA-19a-3p, hsa-miRNA-34b-3p, hsa-miRNA-186-5p, hsa-miRNA-191-5p, 7 and hsa-let-7a-5p), and 3 miRNAs (hsa-miRNA-21-5p, hsa-miRNA-31-5p, and 8 hsa-miRNA-27b-3p) were upregulated, in stromal cells from CRC compared with 9 non-cancer specimens. Thus, these findings suggest that miRNAs 10 downregulated in the stroma may play a central role in cancer invasion. However, 11 Nishida et al. showed upregulation of two oncogenic clusters, 17 suggesting that 12 oncogenic miRNAs in stromal cells are crucially involved in cancer progression. 13 ¹⁷ The discrepant findings may be due to different sample collection methods 14 (microdissection vs. crypt isolation), detection methods (miRNA array vs. real 15 time PCR), stage (early vs. late stage), sample type (fresh sample vs. paraffin-16 embedded tissue), or tumor heterogeneity. The present study suggests that

- 1 dysregulation of miRNA expression in the cancer-associated stroma is important.
- 2 This is supported by the finding that CAFs affect the sensitivity of CRC cells to
- 3 chemotherapies, such as oxaliplatin and 5FU, 31 and the role of CAFs as a
- 4 relevant contributor to cancer progression and development of de novo
- 5 resistance to targeted therapies is becoming increasingly apparent. ³¹
- 6 In the present study, we examined differential expression of miRNAs between
- 7 isolated cancer gland and stromal cells. Due to reciprocal crosstalk between
- 8 cancer cells and fibroblasts, CAFs undergo various morphological and biological
- 9 transitions in response to tumor progression. ^{4, 5} Epithelial–mesenchymal (EMT)
- 10 and mesenchymal-epithelial (MET) transitions that occur during colorectal
- 11 pathogenesis are processes allowing the tumor to adapt to the changing
- 12 microenvironment. 32 In the present study, the different expression patterns of
- 13 miRNAs observed between isolated cancer gland and stromal cell samples may
- be a result of EMT or MET in the invasive area of the tumor. 16, 32 In addition, we
- 15 found various expression patterns of miRNAs in the isolated cancer gland and
- stromal cells. Our classification of miRNA expression patterns in cancer gland

- and stromal cells (Table 3) may be helpful for evaluating the role of miRNAs in
- 2 the tumor microenvironment. According to this classification, not only tumor cells
- 3 but also stromal cells play major roles
- 4 in miRNA regulation in the microenvironment. We suggest that multiple miRNAs
- 5 act as key epigenetic regulators in cancer and stromal cells to regulate tumor
- 6 invasion and metastasis.
- 7 Many investigations of miRNAs for evaluating tumorigenesis have been
- 8 reported using tumor tissue samples containing stromal tissue. 7, 8 Analysis of
- 9 miRNAs in tumor tissues is influenced by the presence of stromal cells, which
- 10 may confound the results. It is thought that miRNA expression in tumor tissue is
- determined by the relative proportions of cancer cells and surrounding stromal
- 12 cells. 4 In the present study, three conflicting differential expression patterns of
- 13 miRNAs between cancer and non-cancer tissues were observed, suggesting that
- the miRNA expression status in cancer should not be based only on expression
- in isolated samples (e.g., cancer gland and stromal tissues). Although it remains
- 16 unclear why a discrepancy was found between the isolated samples (isolated

- 1 cancer gland sample and stromal tissue) and tissue samples, one reason may
- 2 be contamination of stromal cells other than fibroblasts, such as inflammatory
- 3 cells, pericytes, and endothelial cells.
- There are some limitations to the present study. First, although we carefully
- 5 obtained the isolated stromal tissue, there was still the possibility of
- 6 contamination of some cancer cells. Although in situ hybridization can be used to
- 7 confirm the absence of contamination of cancer cells in a stromal cell population,
- 8 this method is difficult to perform reproducibly and reliably and thus was not
- 9 attempted. However, we believe that such a low degree of contaminating cancer
- 10 cells did not affect our results. Second, we examined the expression patterns of
- select miRNAs that are frequently reported in CRC. Although high-throughput
- screening has been performed to determine miRNA expression profiles in various
- 13 cancers, ^{17, 33} real-time PCR may be more appropriate for comparing miRNA
- 14 expression between isolated cancer gland and stromal tissues.
- In conclusion, we examined the expression patterns of 13 miRNAs that are
- 16 closely associated with colorectal carcinogenesis in isolated CRC glands and

1 stromal tissue consisting of CAFs. Our results indicate an important role of 2 miRNAs expressed in stromal cells in colorectal pathogenesis. We largely 3 classified the 24 CRCs into two categories according to their differential 4 expression pattern between cancer and non-cancer specimens. This 5 classification might be helpful for understanding the roles of these miRNAs in 6 CRC. We compared the cancer-associated differential expression patterns of the 7 miRNAs between the isolated cancer gland/stromal samples and intact tumor 8 tissues, consisting of both cancer and stromal cells. Although there were a few 9 conflicting findings between the isolated and intact tissue samples in the present 10 study, the overall association of the miRNA expression patterns between the two 11 sample types was good. Assessing miRNA expression in tumor tissues that 12 contain stromal tissue may need careful re-consideration for accurate evaluation 13 of colorectal carcinogenesis. These findings require further evaluation in future 14 studies.

15

16

Acknowledgments

1 We gratefully acknowledge the technical assistance from Ms. E. Sugawara. We 2 also thank the members of the Department of Molecular Diagnostic Pathology, 3 Iwate Medical University, for their support. 4 5 Human rights statement and informed consent 6 All procedures were performed in accordance with the ethical standards of the 7 Iwate Medical University and with the Declaration of Helsinki. Substitute for 8 informed consent (approval by the institutional review board of Iwate Medical 9 University) was obtained from all patients included in the study. 10 11 Conflict of interest statement: We declare that we have no conflicts of interest. 12 13 Funding disclosure: This study was not supported by any funding. 14 15 Author contributions and disclosure statement 16 AS (first author) performed all data collection and analyses. YF generated the

- 1 figures and tables. KO and AS assisted with the clinical data collection. TM
- 2 provided input regarding the preparation of the manuscript. HS provided
- 3 assistance with the molecular technologies used. TS (corresponding first author)
- 4 contributed to the preparation of the manuscript.

6

References

- 2 1. Bhandari A, Woodhouse M, Gupta S. Colorectal cancer is a leading cause
- 3 of cancer incidence and mortality among adults younger than 50 years in the
- 4 USA: a SEER-based analysis with comparison to other young-onset cancers.
- 5 *Investig Med* 2017; 65: 311-15.
- 6 2. Zhao J, Shi L, Ji M, Wu C. The combination of systemic chemotherapy and
- 7 local treatment may improve the survival of patients with unresectable
- 8 metastatic colorectal cancer. *Mol Clin Oncol* 2017; 6: 856-60.
- 9 3. Moriarity A, O'Sullivan J, Kennedy J, Mehigan B, McCormick P. Current
- targeted therapies in the treatment of advanced colorectal cancer: a review.
- 11 Ther Adv Med Oncol 2016; 8: 276-93.
- 12 4. Peddareddigari VG, Wang D, Dubois RN. The tumor microenvironment in
- colorectal carcinogenesis. *Cancer Microenviron* 2010; 3: 149-66.
- 14 5. Bhome R, Mellone M, Emo K, Thomas GJ, Sayan AE, Mirnezami AH. The
- 15 colorectal cancer microenvironment: strategies for studying the role of
- cancer-associated fibroblasts. *Methods Mol Biol* 2018; 1765: 87-98.

- 1 6. Masuda T, Hayashi N, Kuroda Y, Ito S, Eguchi H, Mimori K. MicroRNAs as
- biomarkers in colorectal cancer. *Cancers (Basel)* 2017; 9. pii: E124.
- 3 7. Mohammadi A, Mansoori B, Baradaran B. The role of microRNAs in
- 4 colorectal cancer. *Biomed Pharmacother* 2016; 84: 705-13.
- 5 8. Xie F, Hosany S, Zhong S, et al. MicroRNA-193a inhibits breast cancer
- 6 proliferation and metastasis by downregulating WT1. *PLoS One* 2017; 12:
- 7 e0185565.
- 8 9. Castro D, Moreira M, Gouveia AM, Pozza DH, De Mello RA. MicroRNAs in
- 9 lung cancer. *Oncotarget* 2017; 8: 81679-85.
- 10 10. Giulietti M, Occhipinti G, Principato G, Piva F. Identification of candidate
- 11 miRNA biomarkers for pancreatic ductal adenocarcinoma by weighted gene
- 12 co-expression network analysis. *Cell Oncol (Dordr)* 2017; 40: 181-92.
- 13 11. Li T, Leong MH, Harms B, Kennedy G, Chen L. MicroRNA-21 as a potential
- colon and rectal cancer biomarker. World J Gastroenterol 2013; 19: 5615-
- 15 21.
- 16 12. Kinose Y, Sawada K, Nakamura K, Kimura T. The role of microRNAs in

- 1 ovarian cancer. *BioMed Res Int* 2014; 2014: 249393.
- 2 13. Svoronos AA, Engelman DM, Slack FJ. OncomiR or tumor suppressor? The
- duplicity of microRNAs in cancer. *Cancer Res* 2016; 76: 3666-70.
- 4 14. Bussard KM, Mutkus L, Stumpf K, Gomez-Manzano C, Marini FC. Tumor-
- 5 associated stromal cells as key contributors to the tumor microenvironment.
- 6 Breast Cancer Res 2016; 18: 84.
- 7 15. Paauwe M, Schoonderwoerd MJA, Helderman RFCP, et al. Endoglin
- 8 expression on cancer-associated fibroblasts regulates invasion and
- 9 stimulates colorectal cancer metastasis. *Clin Cancer Res* 2018; 24: 6331-
- 10 44.
- 11 16. Koliaraki V, Pallangyo CK, Greten FR, Kollias G. Mesenchymal cells in colon
- 12 cancer. *Gastroenterology* 2017; 152: 964-79.
- 13 17. Nishida N, Nagahara M, Sato T, et al. Microarray analysis of colorectal
- cancer stromal tissue reveals upregulation of two oncogenic miRNA clusters.
- 15 *Clin Cancer Res* 2012; 18: 3054-70.
- 16 18. Murakami T, Kikuchi H, Ishimatsu H, et al. Tenascin C in colorectal cancer

- stroma is a predictive marker for liver metastasis and is a potent target of
- 2 miR-198 as identified by microRNA analysis. Br J Cancer 2017; 117: 1360-
- 3 70.
- 4 19. Lee KS, Nam SK, Koh J, et al. Stromal expression of microRNA-21 in
- 5 advanced colorectal cancer patients with distant metastases. *J Pathol Transl*
- 6 *Med* 2016; 50: 270-77.
- 7 20. Kuninty PR, Schnittert J, Storm G, Prakash J. MicroRNA targeting to
- 8 modulate tumor microenvironment. *Front Oncol* 2016; 6: 3.
- 9 21. Ohno R, Uozaki H, Kikuchi Y, et al. Both cancerous miR-21 and stromal miR-
- 21 in urothelial carcinoma are related to tumour progression. *Histopathology*
- 11 2016; 69: 993-99.
- 12 22. Kunita A, Morita S, Irisa TU, et al. MicroRNA-21 in cancer-associated
- fibroblasts supports lung adenocarcinoma progression. Sci Rep 2018; 8:
- 14 8838.
- 15 23. Japanese Society for Cancer of the Colon and Rectum. Japanese
- 16 Classification of Colorectal Carcinoma, second English edition. Kanehara

- 1 Co.: Tokyo, 2009; 30-63.
- 2 24. Sugai T, Habano W, Nakamura S, Uesugi N, Sasou S, Itoh C. A unique
- 3 method for mutation analysis of tumor suppressor genes in colorectal
- 4 carcinomas using a crypt isolation technique. Arch Pathol Lab Med 2000;
- 5 124: 382-86.
- 6 25. Mazeh H, Mizrahi I, Ilyayev N, et al. The diagnostic and prognostic role of
- 7 microRNAs in colorectal cancer a comprehensive review. *J Cancer* 2013;
- 8 4: 281-95.
- 9 26. Chen W, Tong K, Yu J. MicroRNA-130a is upregulated in colorectal cancer
- and promotes cell growth and motility by directly targeting forkhead box F2.
- 11 *Mol Med Rep* 2017; 16:5241-48.
- 12 27. Falzone L, Scola L, Zanghì A, et al. Integrated analysis of colorectal cancer
- 13 microRNA datasets: identification of microRNAs associated with tumor
- development. *Aging* 2018; 10: 1000-14.
- 15 28. Sun P, Sun D, Wang X, Liu T, Ma Z, Duan L. miR-206 is an independent
- prognostic factor and inhibits tumor invasion and migration in colorectal

- 1 cancer. Cancer Biomark 2015; 15: 391-96.
- 2 29. Merino MJ, Gil S, Macias CG, Lara K. The unknown microRNA expression
- 3 of male breast cancer. Similarities and differences with female ductal
- 4 carcinoma. Their role as tumor biomarker. *J Cancer* 2018; 9: 450-59.
- 5 30. Lee EJ, Gusev Y, Jiang J, et al. Expression profiling identifies microRNA
- 6 signature in pancreatic cancer. *Int J Cancer* 2007; 120: 1046-54.
- 7 31. Gonçalves-Ribeiro S, Díaz-Maroto NG, Berdiel-Acer M, et al. Carcinoma-
- 8 associated fibroblasts affect sensitivity to oxaliplatin and 5FU in colorectal
- 9 cancer cells. *Oncotarget* 2016; 7: 59766-80.
- 10 32. Sipos F, Galamb O. Epithelial-to-mesenchymal and mesenchymal-to-
- epithelial transitions in the colon. *World J Gastroenterol* 2012; 18:601-8.
- 12 33. Wu D, Hu Y, Tong S, Williams BR, Smyth GK, Gantier MP. The use of
- miRNA microarrays for the analysis of cancer samples with global miRNA
- 14 decrease. *RNA* 2013; 19: 876-88.

Figure legends

- 2 Figure 1. Representative figure of isolated cancer glands and stromal cells.
- 3 Colorectal cancer glands observed under a dissecting microscope (tumor crypt:
- 4 large crypts or sheets of epithelium, thick epithelial clusters of various sizes). b.
- 5 Isolated adenocarcinoma gland identified by hematoxylin and eosin staining of
- 6 tissue sections. c. Colorectal stromal cells observed under a dissecting
- 7 microscope (large sheet resembling to fibrous tissue showing an irregular
- 8 contour). d. Histology of cancer-associated fibroblasts according to hematoxylin
- 9 and eosin staining of tissue sections. e. Positive immunostaining of smooth
- muscle actin. f. Negative immunostaining of desmin. According to these results,
- 11 the fibrous tissue was considered to comprise activated fibroblasts (cancer-
- 12 associated fibroblasts).
- 13 Figure 2. Differential expression of the 13 examined miRNAs between isolated
- cancer gland and stromal cells of colorectal cancer. a. hsa-miRNA-130a-3p. b.
- 15 hsa-miRNA-21-5p. c. hsa-miRNA-143-3p. d. hsa-miRNA-206. e. hsa-miRNA-
- 16 195-5p. f. hsa-miRNA-31-5p. g. hsa-miRNA-27b-3p. h. hsa-miRNA-19a-3p. i.

- 1 hsa-miRNA-34b-3p. j. hsa-miRNA-186-5p. k. hsa-miRNA-191-5p. l. hsa-let-7a-
- 2 5p. m. hsa-miRNA-27a-3p. *: *P*<0.05; **: *P*<0.01.
- 3 Figure 3. Differential expression of the 13 examined miRNAs between isolated
- 4 cancer gland cells and fresh intact tumor tissues of colorectal cancer. a. hsa-
- 5 miRNA-130a-3p. b. hsa-miRNA-21-5p. c. hsa-miRNA-143-3p. d. hsa-miRNA-206.
- 6 e. hsa-miRNA-195-5p. f. hsa-miRNA-31-5p. g. hsa-miRNA-27b-3p. h. hsa-
- 7 miRNA-19a-3p. i. hsa-miRNA-34b-3p. j. hsa-miRNA-186-5p. k. hsa-miRNA-191-
- 8 5p. l. hsa-let-7a-5p. m. hsa-miRNA-27a-3p. *: P<0.05; **: P<0.01.
- 9 Figure 4. Differential expression of the 13 examined miRNAs between isolated
- 10 cancer stromal cells and fresh intact tumor tissues of colorectal cancer. a. hsa-
- 11 miRNA-130a-3p. b. hsa-miRNA-21-5p. c. hsa-miRNA-143-3p. d. hsa-miRNA-206.
- 12 e. hsa-miRNA-195-5p. f. hsa-miRNA-31-5p. g. hsa-miRNA-27b-3p. h. hsa-
- 13 miRNA-19a-3p. i. hsa-miRNA-34b-3p. j. hsa-miRNA-186-5p. k. hsa-miRNA-191-
- 14 5p. l. hsa-let-7a-5p. m. hsa-miRNA-27a-3p. *: *P*<0.05; **: *P*<0.01.

15

16

Supplementary Figure 1

Sugai T, et al.

- 1 Histological features (hematoxylin and eosin staining) and immunohistochemical
- 2 staining of smooth muscle actin (SMA) and desmin. a. Hematoxylin and eosin
- 3 staining; b. SMA immunostaining; c. desmin immunostaining. 1–24 indicate the
- 4 case numbers. Histological features include fibrous tissue with positive
- 5 immunostaining of SMA and negative immunostaining of desmin, suggesting
- 6 cancer-associated fibroblasts (myofibroblasts).

8 Supplementary Table

7

9 Primer sequences for the microRNAs examined.

Table 1. Clinicopathological findings of the colorectal cancer cases examined

Factors	Cases (%)	
Total	24 (100)	
Sex		
Male	10 (41.7)	
Female	14 (58.3)	
Age (years)		
Median [range]	69 [45–90]	
Tumor size (mm)		
Median [range]	50 [30–100]	
Locus		
Right	15 (62.5)	
Left	9 (37.5)	
Macroscopic type		
Type 1	3 (12.5)	
Type 2	20 (83.3)	
Type 3	1 (4.2)	
Predominant histological subtype		
Well differentiated adenocarcinoma	8 (33.3)	
Moderately differentiated adenocarcinoma	12 (50.0)	
Papillary adenocarcinoma	2 (8.3)	
Mucinous adenocarcinoma	2 (8.3)	
Tumor extension		
pT2	1 (4.2)	
pT3	16 (66.7)	
pT4 [pT4a/ pT4b]	7 (29.1) [7 (29.1)/ 0 (0.0)]	
Lymphatic invasion		
Present/ absent	11 (45.8)/ 13 (54.2)	
Venous invasion		
Present/ absent	14 (58.3)/ 10 (41.7)	
Lymph node metastasis		
Present/ absent	15 (62.5)/ 9 (37.5)	

Distant metastasis

Present/ absent 6 (25.0)/ 18 (75.0)

Table 2. Classification of the miRNAs according to their differential expression between in cancer gland and stromal cells.

	Different type			Non-different type			
Subtype	Gland cell dominant	Stromal cell dominant		Up regulated	Down regulated	Up/down	
	Up regulated	Up regulated	Down regulated			regulated	
Glandular miRNA		\	$\uparrow\downarrow$	↑	→	↑	
Stromal miRNA	↓	1	+	↑	\	↓	
Classification of miRNAs	hsa-miRNA -130a-3p	hsa-miRNA -21-5p	hsa-miRNA -206, -143-3p, -195-5p	hsa-miRNA -27b-3p, -31-5p	hsa-miRNA -19a-3p, -34b-3p, -186-5p, -191-5p, hsa- let-7a-5p	hsa-miRNA -27a-3p	

Bold up arrow: greater magnitude of CRC upregulation in the indicated cell type. Bold down arrow: greater magnitude of CRC downregulation in the indicated cell type. Thin up arrow: non-significant upregulation of the miRNA. Thin down arrow: non-significant downregulation of the miRNA.

Pathology International Page 42 of 48

Table 3. Associations of the cancer-associated miRNA expression patterns between the isolated and intact tumor samples

Up/downregulated in CRC cells	Intact CRC tissue expression	Upregulated in CRC cells	Intact CRC tissue expression	Downregulated in CRC cells	Intact CRC tissue expression	
hsa-miRNA-130a-3p	\	hsa-miRNA-31-5p	↑	hsa-miRNA-195-5 p	\	_
hsa-miRNA-21-5p	\downarrow	hsa-miRNA-27b-3	↓	hsa-miRNA-19a-3p	↑	
hsa-miRNA-143-3p	\downarrow	P		hsa-miRNA-34b-3	↓	
hsa-miRNA-206	\downarrow			p hsa-miRNA-186-5	↓	I In/dayy
hsa-miRNA-27a-3p	\downarrow			p hsa-miRNA-191-5	\downarrow	Up/down egulated miRNAs
				p hsa-let-7a-5p	↑	up/down

isolated glandular/stromal, or down/upregulated in isolated gland/stromal cells compared with paired non-cancer samples. CRC, colorectal cancer.

Upregulated: miRNAs upregulated in both isolated gland and stromal cells compared with paired non-cancer samples.

Downregulated: miRNAs downregulated in both isolated gland and stroma cells compared with paired non-cancer samples.

↓, lower expression in CRC compared with paired non-cancer intact tissues; ↑, higher expression in CRC compared with paired non-cancer intact tissues.

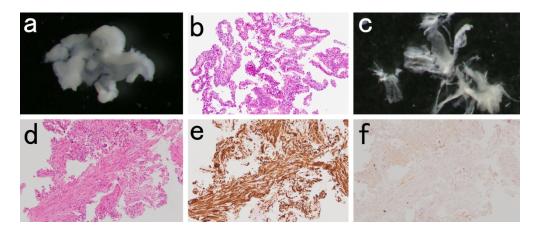


Figure 1 169x71mm (300 x 300 DPI)

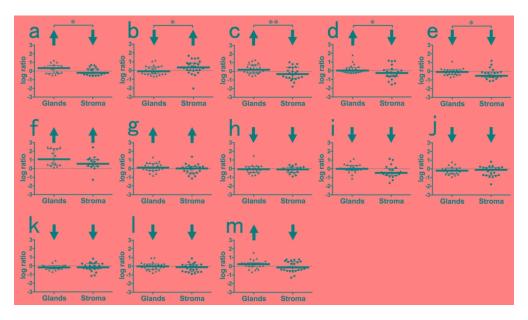


Figure 2 170x98mm (300 x 300 DPI)

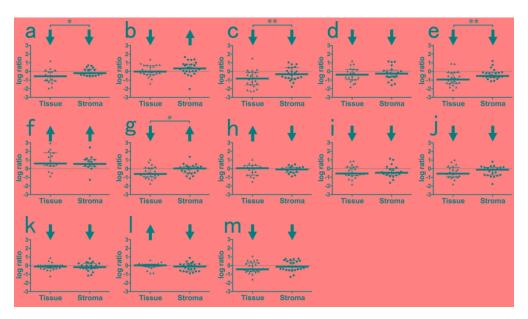


Figure 4 170x98mm (300 x 300 DPI)

Unable to Convert Image

The dimensions of this image (in pixels) are too large to be converted. For this image to convert, the total number of pixels (height x width) must be less than 40,000,000 (40 megapixels).

Supplementary Table: Primer sequences for the microRNAs examined

MicroRNA target	Sequence (5' - 3')
hsa-miRNA-19a-3p	UGUGCAAAUCUAUGCAAAACUGA
hsa-miRNA-21-5p	UAGCUUAUCAGACUGAUGUUGA
hsa-miRNA-27a-3p	UUCACAGUGGCUAAGUUCCGC
hsa-miRNA-27b-3p	UUCACAGUGGCUAAGUUCUGC
hsa-miRNA-31-5p	AGGCAAGAUGCUGGCAUAGCU
hsa-miRNA-34b-3p	CAAUCACUAACUCCACUGCCAU
hsa-miRNA-130a-3p	CAGUGCAAUGUUAAAAGGGCAU
hsa-miRNA-143-3p	UGAGAUGAAGCACUGUAGCUC
hsa-miRNA-186-5p	CAAAGAAUUCUCCUUUUGGGCU
hsa-miRNA-191-5p	CAACGGAAUCCCAAAAGCAGCUG
hsa-miRNA-195-5p	UAGCAGCACAGAAAUAUUGGC
hsa-miRNA-206	UGGAAUGUAAGGAAGUGUGUGG
hsa-let-7a-5p	UGAGGUAGUAGGUUGUAUAGUU